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WWW.GOPHERSTATEONECALL.ORG

GOPHER STATE ONE CALL CONTACT INFORMATION

Address:

Gopher State One Call 18946 Lake Drive East Chanhassen, MN 55317

Web Address:

www.gopherstateonecall.org

Locate Requests:

651-454-0002 or 800-252-1166

Emergency ONLY: 866-640-3637

Online (E-Ticket)

www.gopherstateonecall.org/submit

Resource Center:

651-681-7326 or 800-245-5852

helpdesk@gopherstateonecall.org

Contact the GSOC Resource Center for:

Technical Support

Website Questions

Ticket or Audit Resends

Ticket Destination Changes

Questions About Any of GSOC's Online Resources

- E-Ticket
- Positive Response
- Member Service Area Mapping
- Ticket Search

Administration:

651-454-8388 or 800-422-1242

admin@gopherstateonecall.org

Contact GSOC Administration for:

Billing

General Administrative Questions

GOPHER STATE ONE CALL ONLINE RESOURCES

Submit a Ticket

www.gopherstateonecall.org/submit

Search for the Status of a Ticket

www.gopherstateonecall.org/search

Respond to a Ticket

www.gopherstateonecall.org/respond

Manage Your Service Area Maps

www.gopherstateonecall.org/msam

Read Frequently Asked Questions

www.gopherstateonecall.org/faq

Learn More About GSOC

www.gopherstateonecall.org/about

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GOPHER STATE ONE CALL RESPONSIBILITIES

WHO WE ARE

Gopher State One Call (GSOC) is the one-call notification system established to act as a communications link between underground facility operators, homeowners and excavators in the State of Minnesota. GSOC collects information from anyone who is planning to dig, and transmits this information to facility operators with underground facilities near the dig site. After receiving a notice from GSOC, facility operators mark the approximate location of an underground facility with paint or flags, respond electronically, or determine the area is clear. Facility operators then may provide a response back to GSOC's Positive Response site for anyone to view the results of the located underground facilities.

GSOC, a non-profit organization, was formed in 1987 in response to the legislature's adoption of Minnesota Statutes Chapter 216D. GSOC was approved by the Commissioner of Public Safety in 1988 as the state wide one call center and has served in that role ever since. Chapter 216D requires anyone who engages in any type of excavation anywhere in Minnesota to provide notice at least two business days (48 hours excluding weekends and holidays). An excavator may notify GSOC up to 14 calendar days prior to excavation. The cost of GSOC services is paid by underground facility operators. The service provided by GSOC to excavators is free of charge.

WHAT GSOC DOES

- Act as the state wide notification center for the State of Minnesota.
- Educate facility operators and excavators about Minnesota Statute 216D.
- Develop and implement processes to allow the communication between excavators, Gopher State One Call and facility operators.
- Maintain a database of facility operator underground utility information and contact information.

WHAT GSOC DOESN'T DO

- Physically locate and mark any underground facilities. This is done by the facility operator.
- Settle disputes between excavators and facility operators.
- Maintain a database of the exact location of underground facilities.
- Handle facility billing or other facility questions.
- Maintain facility lines in any way, such as repairing damaged facilities or tracking the depth of facilities. Please contact the facility operator for any facility line issues.

METHODS OF PROVIDING INFORMATION FOR LOCATE REQUESTS

GSOC asks for your help when you are processing your locate requests during the busy digging season of April through October. If at all possible, avoid using the telephone to contact GSOC between the peak calling hours of 7 am and 11 am, Monday and Tuesday. Please use our E-Ticket system to submit your tickets, which can be submitted 24/7.

Note: Emergency calls are exempt from this request and are always accepted.

GSOC offers excavators several convenient options for providing information to process locate tickets:

E-Ticket

www.gopherstateonecall.org/submit

E-Ticket is GSOC's online ticket submission website. Over half of GSOC's tickets are submitted using this tool. E-ticket allows you to provide your excavation information to GSOC in a user friendly format. There are several additional benefits to using GSOC's E-Ticket system to submit tickets.

- Submit tickets 24 hours a day, seven days a week.
- Available anywhere you have internet access.
- Eliminates time spent on the phone.

- Simple to setup, and no training required.
- Allows you to map your dig location.
- Provides email confirmation of your ticket.

Telephone

Locates: 651-454-0002 or

800-252-1166

Emergency Locates: 866-640-3637

GSOC can be contacted between 6 am and 6 pm, Monday through Friday, April through October, and 7 am and 5 pm November through March to process excavation, meet and non-excavation locate requests. Emergency locate requests may be submitted 24 hours a day. Before contacting GSOC, make sure all excavation information is ready.

811

811 is another telephone number available to contact GSOC. With 811 being so close in numeric proximity to 911, you will first be instructed to make sure this is not an emergency for which 911 is applicable and that you are in fact trying to contact GSOC.

Don't assume you know what's below. Protect yourself and those around you, use GSOC this time and every time.

PRIVATE UNDERGROUND FACILITIES, UTILITIES AND DISTRIBUTION NETWORKS

Private underground facilities are found everywhere, including single family homes, farms, multi-family housing units, businesses, industrial areas, mobile home courts, shopping centers and sometimes in the road right away. GSOC encourages owners and operators of private underground facilities to include those facilities on the GSOC system. However, most private facilities are not listed with GSOC.

Unless the private facility owner participates in GSOC, privately or customer owned facilities will not be notified and may not be marked. Therefore, it is imperative that special precautions be taken by excavators as part of every excavation project.

A good excavator is also a great detective or investigator. The excavator knows that private facilities will be part of almost every job. They physically inspect the jobsite prior to entering a ticket (or even bidding the job), they query the property owner, see what equipment or power may serve buildings, they will excavate around and look for warning signs. They find out who installed the original underground facilities and contact then to determine if any records or maps exist. They also prepare maps of any new facilities they install, so that this problem doesn't exist in the future.

Please note: facilities that run under or across public road right of way or any other public right of way are no longer classified as "private facilities". As a result, these facilities are "underground facilities" within the meaning of Minnesota Statute 216D and must be registered with GSOC.

ENERGY RELATED FACILITIES

Private facilities can be used to convey many different types of products. These products fall into two broad categories: **Energy Related Facilities** and **Non-Energy Related**.

PROPANE

Propane facilities can be found in a variety of applications in residential, agricultural and industrial settings.

In residential settings, propane may be used to heat buildings, swimming pools, grills, fireplaces and appliances. Propane may also fuel lighting and other appliances. In agricultural settings, propane may be a fuel source for buildings, corn dryers and other equipment.

Commercial and industrial locations also rely on propane for a fuel source. This can include a wide variety of facilities from heating to standby or off-peak backup facilities.

Visible indications of the use of propane at a location are above ground or buried storage tanks.

A buried propane tank usually has an exposed location with a fitting for re-filling. Remember that a single propane tank can provide fuel for multiple buildings, mobile homes, single family homes, cabins or town homes.

For more information about propane, please visit the Minnesota Propane Association at www.mnpropane.org.

NATURAL GAS

Private natural gas lines in a residential setting may provide fuel for a number of different devices. Among them are barbecues and grills, pool heaters, yard lights or heaters in outbuildings like sheds, garages or barns.

Natural gas may be delivered to a "master meter" at multi-resident properties, such as mobile home courts, town home or apartment complexes. Buried facilities carrying natural gas between the master meter and units on the property are usually private facilities. As a general rule, natural gas providers will only mark underground gas lines from the main to the meter.

Any appliance or device fueled by natural gas that is on the "other side" of the meter is almost invariably served by the private facility.

For more information about natural gas, please visit the Blue Flame Association's web site at www.blueflame.org.

FARM TAPS

Many landowners in Minnesota are hooked up directly to natural gas pipelines. Known as "farm taps", these connections are considered private gas lines. All the equipment from the outlet of the meter (usually, but not always, located near the pipeline) to the house, corn dryer, milk house or barn belongs to the landowner. Many owners may not realize they are entirely responsible for the operation, maintenance, repair and locating of the attached equipment (such as ordorizers) and gas line(s).

Farm taps support many farms, and as farms have expanded, adding more houses and buildings to the original farmstead, private lines attached to a single tap have increased in number.

Unfortunately, little or no documentation or maps of the original or subsequently installed facilities exist. Gopher State One Call recommends that anyone receiving service from a farm tap reconsiders before expanding the service as this is currently prohibited by existing regulation and practice. Lines attached to a farm tap may well cross a public right of way due to a new road installation or easements resulting from new owners, land sales, leases, or rental agreement. This factor could require the owner to register the line with GSOC.

Excavators or landowners may need to hire a

private line locator to mark out the location of farm tap lines. More information regarding farm taps and a listing of private locators in Minnesota that GSOC is aware of is available at www.gopherstateonecall. org. GSOC strongly recommends that specific questions regarding farm taps be directed to the gas provider or the Minnesota Office of Pipeline Safety.

ELECTRICITY

Like natural gas, electric service may be supplied to a "master meter" at multi-resident properties, such as mobile home courts, town homes or apartment complexes. Any line that connects the "master meter" to another unit or device on that property is private.

Any property, residential or commercial, may also have buried private electric lines serving power to, among other things, yard lighting, pool heaters or pumps. Additionally, on residential properties of farms, private lines may connect outbuildings like garages, sheds, barns, or electric fences to a source of power. Remember that the supplier of electricity usually only marks the power line up to the meter. If there is power in a garage or if a piece of equipment or building is served by electricity, make sure to look for a private electric line.

Although the meter set is often found on/at the home or main building, in some areas it is set on a

pole or other attachment at a nearby power pole. Red marks or flags leading up to a pole, but not going on to other buildings are indicators that there are one or more private facilities in the area. Also, use care where a property is served by overhead lines that are buried after they enter the property. In this situation, it may be that all underground electric facilities are considered private.

NON-ENERGY RELATED FACILITIES

Several other types of private buried facilities may be found on private property that are non-energy related facilities, but still need protection. These types of private facilities can range from, but are not limited to:

- Fiber optic lines
- Communications or phone lines
- Drain tile
- Irrigation lines
- Septic systems or waste collection lines
- Under-ground sprinkler systems
- Data communications cables
- Satellite dishes
- Invisible fences
- Low voltage landscape lighting

WHAT SHOULD I DO IF I SUSPECT I HAVE PRIVATE FACILITIES IN THE AREA OF MY EXCAVATION?

GSOC suggests that you delay any digging until you have all private facilities identified and marked. When a property owner or tenant has any type of private underground facility, they are responsible to locate those facilities, or hire someone to locate them. The contact information for a number of private locating companies can be found at the Gopher State One Call's website: www.gopherstateonecall.org.



EXCAVATOR RESPONSIBILITIES PLANNING FOR YOUR EXCAVATION

You are required to use white markings to define the entire area where excavation will occur, unless it can be shown it is not practical. Include a safety buffer when marking the area.

GSOC wants you, as the excavator, to have a very safe and successful excavation process. We suggest that you break your excavation down into multiple tickets if that makes it easier either for you to describe the work or for the locator to find your excavation site. For example, we would suggest you follow the following guidelines:

- Limit your ticket to a contiguous dig area. Avoid the tempation to try to include two different dig areas on one ticket. We suggest even if the projects are related or part of the same job, break them down into separate tickets.
- Use common sense when defining your excavation area. Even if it is a contiguous single project, a ticket covering 5 miles, or 250 trees, or 200 signposts is not a good idea. You want to help the facility operator know where you will be digging. Make the job easier by using white markings and breaking down the work area into parts that will be

more understandable. Also, think about the facility operator's work schedule as well. If the work will happen in stages, stage your tickets so that your marks will be fresh when you enter the area.

- GSOC reserves the right to put limits on ticket size as situations come to their attention.
- Remember you can contact GSOC up to 14 calendar days in advance.
- Prepare the information for your excavation site prior to submitting a ticket to GSOC. For example, when submitting a locate ticket, you will be asked to give detailed marking instructions for the specific area where locates are needed and driving directions to the excavation site.
- If you fail to provide GSOC with the required information, GSOC may suspend your request until you obtain complete information. To prevent this, please plan ahead.

NOTIFYING GSOC

All Minnesota excavators, including homeowners and farmers, are responsible for notifying GSOC of their proposed excavations so facility operators with underground facilities near the excavation site can be informed of pending excavation.

GSOC reminds you that anyone excavating in

Minnesota must have their own one-call ticket. If you are a sub-contractor and you are excavating, protect yourself and your company by obtaining your own one-call ticket. The general contractor's ticket does not apply to subcontractors.

You must contact GSOC to provide information necessary to complete a locate ticket at least two business days (not including weekends and holidays) before excavating. You may contact GSOC up to 14 calendar days prior to beginning excavation to provide facility operators additional time to mark the area of proposed excavation.

When your locate ticket is complete, you will be given a ticket number. Keep this ticket number to track which facility operators have responded to your one-call request.

See page 2 for a list of all the ways to notify GSOC.

ALWAYS CHECK THE STATUS BEFORE YOU DIG

Many people believe that by notifying GSOC of intended excavation they have completed all of their responsibilities in the one-call process. This is not the case, notifying GSOC is only the first step.

First, carefully review your ticket to make sure it is correct. Pay particular attention to similar sounding street names, and whether you are physically located in a town or township. Contact GSOC immediately if there are any discrepancies.

Next, review the list of facility operators notified by GSOC, and compare it to a physical inspection of the surrounding area.

Lastly, GSOC recommends you use the "Search Ticket Status" resource on our website, which can be found at www.gopherstateonecall.org/search to check which facility operators listed on your ticket have electronically responded.

CONDUCTING AN EXCAVATION

While working at the excavation site, have your locate ticket information with you.

If after you start digging you determine that your excavation will need to move beyond the original area described in your ticket, submit a new ticket for the expanded area.

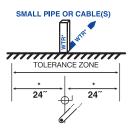
During the course of digging it is the excavator's responsibility to inspect and support all facilities that have been exposed. If during your excavation equipment comes in physical contact with an underground facility, even if there is no noticeable damage, you must stop excavation and contact the facility owner. If damage occurs, it is the excavator's responsibility to immediately notify the facility owner directly. This damage could include the pulling or kinking of the facility or damage to the protective coating, covering, or tracer wire.

The excavator is responsible for reasonably

protecting and preserving locate markings until no longer required for proper and safe excavation near the underground facilities. If the excavator has reason to believe locate markings are obliterated, obscured, missing or incorrect, the excavator must notify the facility operator, to refresh or remark the locate area. The excavator can also contact GSOC to update a valid ticket. It is important that all facilities be marked or cleared prior to digging.

EXCAVATING WITHIN A TOLERANCE ZONE

TYPICAL MARKING LARGE PIPE OR MULTIPLE DUCTS TOLERANCE ZONE



Excavators are required to maintain a minimum horizontal (side to side) clearance of feet (24") between unexposed facility and the cutting edge or point of any power operated excavating or earthmovina equipment. For example: if the markings indicate a 6" pipe is buried, the hand dig zone is 54" wide (24"+ 6"+ 24"). If the excavation is required within the hand dig zone, the excavation must be performed very carefully. Consider the use of vacuum excavation or hand tools. The excavator is also responsible to dig without damage to the facility or undermining the lateral support. Excavators are reminded that the depth of an underground facility may vary due to installation practices, changes in grade, frost, erosion and other variables. Therefore, any depth readings given by a locator, if given at all, are only an estimation of the depth of the facilities, and the excavator is still responsible to safely expose the facility without damage.

HAND DIG WITH CARE

Minnesota Law requires the use of hand tools (or vacuum excavation) when excavation will take place within the Tolerance Zone. Gopher State One Call reminds you to use care when you are digging within this "Hand-Dig Tolerance Zone." Respect the marks to protect yourself and the integrity of underground facilities. GSOC will accept all locate requests from excavators who plan on using hand tools.

GSOC also advises you that although hand tools are exempt from the requirement to contact GSOC before you dig, in some circumstances it may be safer for you to have underground facilities marked even when using hand tools. Hand tools may pose a threat to you, others and underground facilities. Damages have occurred to underground

facilities when working with metal objects that are pounded or screwed into the ground, even by hand. For example, tent stakes, anchor bolts, form pins, metal probes, survey stakes, hand augers, political signs and many other objects use sharp metal ends capable of damaging underground facilities.

EMERGENCY EXCAVATIONS

An "emergency" is defined by Minnesota State Statute 216D.01, subdivision 3 as "a condition that poses a clear and immediate danger to life, health or significant loss of property." Please note that work-scheduling problems or customer demands are not considered an emergency.

GSOC reminds you to call 911 whenever there is a release of flammable, toxic or corrosive gas or liquid, or if a dangerous situation has been created. Examples of emergencies:

- A. An unforeseen excavation necessary in order to prevent a condition that poses clear and immediate danger to life or health.
- B. An excavation required to repair a service outage.
- C. An excavation required in order to prevent significant and immediate property damage.
- D. The repair of an existing unstable condition which may result in an emergency.

Emergency locates should be given top priority by utilities. An excavator must maintain a continously staffed telephone number throughout the emergency. Gopher State One Call reminds excavators that upon receiving an immediate emergency notice the facility operator must contact the excavator within one hour by telephone and is required to visit the job site, locate and mark within three hours of receiving the notice, unless the circumstance

ABANDONED FACILITIES

dictates otherwise.

Facility owners are required to maintain maps, drawings, diagrams or other records of any abandoned or out of service underground facilities. It is the facility owner's responsibility to give the excavator any known information about the abandoned facilities' location.

If the facility owner notifies the excavator at the job site that abandoned facilities exist, the above symbol should be used, either painted on the ground or on a locate flag. The capital A inside a circle represents abandoned facilities. The symbol should be painted or put on a flag in the same APWA color as is required for marking the underground facilities. For example, if this symbol were found on a yellow flag it would represent an abandoned gas, oil or steam line.

Even though the facility may be abandoned it remains the property of the underground facility operator. As an excavator, you may not remove that abandoned facility from the ground without prior permission from the facility operator.

When notified of abandoned facilities existing in your proposed work site, gather as much knowledge and information as you can about the abandoned facility, (i.e. type, size, color, material, location, and possible depth.)



FACILITY OPERATOR RESPONSIBILITIES

RESPONDING TO A LOCATE TICKET

After receiving an excavation locate ticket the facility operator must locate underground facilities prior to the legal excavation start date and time on the ticket, unless it is otherwise agreed or an onsite meet is requested. GSOC will issue the start time requested by the excavator as long as the time requested allows the facility operator at least two full business days (excluding weekends and holidays) to do the following:

- Physically locate and mark the horizontal location of underground facilities within the excavation site according to the American Public Works Association (APWA) color codes; or
 - If the underground facilities at the excavation site cannot be located prior to the legal start date, contact the excavator.
- Immediate response emergency excavation tickets are given top priority. Facility members will contact the excavator within one hour via the telephone, and if underground facilities need to be marked, be there within three hours.

If excavation, demolition or weather conditions change the locate marks and they are believed to

be obliterated, obscured, missing or incorrect, the excavator shall notify the facility operator. The GSOC notification center may be re-contacted to have the facility operator verify, refresh, or remark the locate. It is important that all facilities be marked or cleared prior to digging.

POSITIVE RESPONSE

Underground facility operators must respond to every ticket they receive from GSOC, even if there are no underground facilities in the excavation area. You can view the facility operators response at www.gopherstateonecall.org/respond.

GUIDELINES FOR MARKING UNDERGROUND FACILITIES

Underground facility operators should use the recommended guidelines for uniform temporary markings of underground facilities as approved by the Common Ground Alliance (www.commongroundalliance.com) when marking the horizontal route of an underground facility. Additional info can be found at NUCLA website, www.nucla.org.

The operator of an underground facility should be indicated by initials or by name along with the marks indicating the horizontal location of the underground facility.

When known, the total number of lines within the

ground should be indicated. The number of lines indicated should be based on the actual number of physical lines. Multiple cables twisted together to form a single facility, as in the case of electric lines, would be considered one cable for a locate purpose. If seperated in the soil, they should be considered seperate facilities.

If a facility is in a duct bank or a duct structure a corridor marker may be used. The corridor marker should indicate the approximate width of the facility.

A marking resembling the capital letter "H" lying on its side will indicate the corridor marker. When

JGE 36"

there is a strong likelihood that the marks may be destroyed, the contractor may want to request offset markings. Offsets are indicated on a permanent surface or stakes and are placed parallel to the facility. The offset should indicate the distance from the offset to the facility and should identify the facility owner and if necessary the size of the facility.

ABANDONED FACILITIES

Facility owners are required to maintain maps, drawings, diagrams or other records of an underground facility abandoned or out-of- service. It is the facility owner's responsibility to give the

excavator any known information about the abandoned facilities location.

Please refer to page 24 under "Excavator Information" for Abandoned Facilities Requirements.

Types Of Locate Requests

EXCAVATION TICKET TYPES

Locate Request
Emergency
Meet

Non-Excavation Ticket Types

Non-Excavation Ticket
Owner Inquiry
Boundary Survey
Engineering/Pre-Con Meet

LOCATE

Use this for standard excavation projects.

This is the most common type of request processed at GSOC. This type of ticket must be requested by the excavator at least two business days (excluding weekends and holidays) and up to 14 calendar days from the planned start of excavation. The ticket is valid for 14 calendar days from the start time stated on the ticket, unless the locate markings become obscure or obliterated.

The excavator and operator can also make arrangements to periodically verify or refresh the marks, in which case the ticket is valid for up to six months from start time stated on the notice.

EMERGENCY LOCATE

As defined by Minnesota Statute Chapter 216D.01, subdivision 3, use this type of locate for "a condition that poses clear and immediate danger of life or health, or significant loss of property."

Note: GSOC does not determine an emergency condition exists. If a ticket is submitted to GSOC as an emergency we have no choice but to process.

Locate and mark the facility unless otherwise agreed between the parties, or; Contact the excavator at the continueous staffed telephone number provided on the emergency notice that no underground facility exists within the area of proposed excavation.

There are two types of emergency locates:

- Immediate Emergency
- Scheduled Emergency

Examples of Immediate Emergencies:

 An unforeseen excavation necessary in order to prevent a condition that poses clear and immediate danger to life or health.

- An excavation required in order to prevent significant and immediate property damage.
- The repair of an existing unstable condition that may result in an emergency.

GSOC reminds you to first call 911 whenever there is a release of flammable, toxic or corrosive gas or liquid, or a dangerous situation is created. Next, contact the facility operator involved in the emergency, then contact GSOC.

Examples of Scheduled Emergencies:

When receiving a emergency notice, facility operators will, before the scheduled start time and date given on the notice by the excavator to GSOC:

- A leaking watermain that will be fixed the next morning.
- A power pole being replaced the next day.
- Repairing a water well.

MEET REQUESTS

Use this ticket type to request the facility operator to physically meet at the excavation site.

A meet should only be requested when it is difficult to precisely define the location of the excavation site in a routine locate request. The use of white markings can often be used to avoid the time and cost of a meet. Even if a meet is ultimately

necessary, the use of white markings is required so that there is a clear understanding of the work to be done in the field, and its precise location.

The Minnesota Rules in 7560.0350 sets forth the requirements for a meet.

GSOC will ask additional questions of you including the approximate location of the work site. Please note that the presence of a specific geographic area on a ticket does not replace the need of the operator or locator to attend the meet. The information in the ticket may be only part of the work, may not encompass all of the work or may be missing other information provided to operators and locators at the meet. Please attend the meet and do not guess based solely on the information set forth on the ticket.

The scheduling of a meet request does not mean that the work site will be marked at the time of the meet. When the meet time is requested, the meet time must be at least 48 hours (excluding weekends and holidays) after contacting GSOC. The excavation start time must be at least 24 hours after the proposed meet date and time (again excluding weekends and holidays). For example, if you contact GSOC at 11 am on Thursday (and the subsequent Monday is not a holiday) the soonest the meet can occur (assuming no need to reschedule) is 11 am the following Monday, and the excavation cannot begin before 11 am on

Tuesday.

Excavators are reminded that all meets are tentative and subject to change. GSOC cannot make binding appointments on behalf of facility operators. If the facility operator or locator cannot make the proposed meet time it is important that you provide a telephone number that is staffed so they may contact the excavator to make other arrangements that work best for each party.

GSOC will only schedule a tentative meet location within the area for which excavation is proposed. If the parties desire to physically meet in a location outside the boundaries of the proposed excavation, they are required to coordinate that alternative location among themselves. All parties are reminded to be at the meet on time.

GSOC encourages excavators to prepare prior to the meet. At the meet the excavator shall inform the facility operators and locators of the precise geographic location of the work, the timetable, scope of work, and any other information needed so that the facility operator or locator can protect the underground facilities. Excavators need to anticipate changes in the job site, weather or other conditions that may change the direction or nature of the work and communicate those changes so that the facility operators and locators can adjust their protection efforts.

MN Rule 7560 requires the excavator to maintain

written documentation of each meet. The rules require that at a minimum this documentation include:

- The date and time of each meet.
- The names, company affiliations and contact information for each attendee at each meet.
- A diagram, sketch, or description of the precise excavation locations, dates and times.
- And agreed schedule of any future meets or communications.

GSOC recommends that this documentation be started prior to the meet itself, so that the written diagrams or sketches and timetable can be handed out by th excavator at the start of the meet. Engineering drawings and other detailed information is encouraged so that all parties clearly understand the worksite. Pictures taken at the time of the meet, or of markings made after the meet, may be helpful as part of the documentation process.

An excavator meet form has been developed to assist in documenting the meet. The downloadable form can be found at www.gopherstateonecall.org under Excavation Services.

NON-EXCAVATION TICKET TYPES OWNER INQUIRY (INFORMATION) TICKET

Use this type of request to obtain a list of facility

operators in an area, when you do not intend to dig.

Owner Inquiry tickets are used to provide a list of underground facility owners and contact phone numbers to engineers, architects, surveyors, planners, or a person soliciting bids or entering into a contract for future excavation, and who does not require field marks or a meeting at the proposed job site. GSOC will provide contact information and any special handling notes supplied by the underground facility owner. These tickets are not released to facility operators. Therefore, no excavation whatsoever can be conducted on this type of ticket.

NON-EXCAVATION TICKET

Use this type of ticket to request facility markings onsite or a map of underground facilities during the design phase.

MN State Statute 216D.04, subdivision 1(A) requires contacting GSOC in many circumstances involving contract bid proposals. The law refers to these as "Plans for Excavation." This ticket type is a way for engineers, architects, surveyors, planners or any person soliciting bids or entering into a contract for excavation to obtain the type, size and general location of the facilities. No excavation whatsoever can be conducted on this type of ticket.

Once the facility operator receives the Non-

Excavation Ticket they must provide the requested information within 15 working days. Notification can be provided by either performing:

- 1. An actual field locate at the job site, and/or
- 2. Providing maps or diagrams of the facilities.

The information obtained from the affected operators must be submitted with the final drawing used for the bid or contract.

ENGINEERING/PRE-CON MEET TICKET

Use this type of ticket to meet with facility operators during the design phase of a project.

Engineering/pre-con meet tickets are used when a person is required to comply with Minnesota Statutes 216D.04 subdivision 1a (C) and (D) by holding a design meeting at a proposed future excavation site with potentially affected facility operators in the area. A minimum of 15 working days must be allowed by callers requesting Eng/Pre-Con Meeting. Facility operators or their designated personnel will attend the meeting to exchange information. No excavation whatsoever can be conducted on this type of ticket.

BOUNDARY SURVEY

If you are a licensed land surveyor, use this type of ticket to request facility markings.

A boundary survey is another type of a non-excavation ticket processed by Gopher State One Call. By law, underground facility operators must

respond to a Boundary Survey request within 96 hours. The facility operator must perform an actual field locate unless both parties agree otherwise. No excavation whatsoever can be conducted on this type of ticket.

INFORMATION REQUESTED ON A LOCATE TICKET

Below are brief explanations of the information that you will be required to provide when processing a locate ticket. The information is listed in the order it appears on the ticket.

All identified information is also made available to facility operators, excavators and government agencies. This is not private information and no expectation of confidentially or privacy shall be assumed.

- 1. Telephone Number
- Name and Company Name Provide GSOC with your name. Do not submit a ticket under someone elses name.
- 3. Mailing Address
- 4. E-mail Address
- Alternate Contact Name and Phone Number - Please provide the cellular telephone number of the person to be contacted during the excavation project.
- 6. Explosives GSOC must ask whether

- explosives will be used in an effort to assist gas and pipeline facility operators.
- 7. **Tunneling & Boring** A means of tunnelling horizontally without disturbing the ground above, also known as trenchless construction.
- 8. Right of Way (ROW) GSOC defines the ROW as the area on, below, or above a public roadway, highway, street, cartway, bicycle lane, and public sidewalk in which the government unit has an interest, including other dedicated rights-of-way for travel purposes and utility easements of government units. Make sure you are familiar with the ROW in the area you are working. Please note that the ROW is not the same as an easement.
- 9. **Duration** An estimate of how long you plan on being at the job site. A locate ticket is valid for 14 calendar days. If the duration of the work will extend beyond 14 calendar days, you must request an update on the ticket at least two business days prior to the expiration of the 14 day period, (unless arrangements are made with the affected operators to periodically remark.) If the project will exceed 6 months, a new ticket must be sought prior to the expiration of the 6 month period.
- 10. Type of Work The specific reason for the

work as compared to the work method. For example, "installation of a sanitary sewer lateral" is much more helpful than "digging a sewer line."

- 11. **Work Being Done For** Your name, the customer's name, or the general contractor's name is sufficient.
- Street Address of Work Site Be careful to acurately describe the
 - County name
 - City/Township name
 - Street address of the worksite

You must use the physical address of the dig site, not your mailing address.

"Blanket tickets" are not an acceptable practice. If you are a sub-contractor and you are excavating, protect yourself and your company by processing a ticket. The general contractors' ticket does not apply to anyone except for the general contractor.

GSOC recommends a homeowner not obtain a ticket for an excavator doing work on their behalf.

- Nearest Intersecting Street The nearest intersecting street to the excavation site. Provide the closest intersecting street, even if it is not a major crossing.
- 14. Marking instructions Identifies the

- specific portion of the excavation site that needs to be marked. Here are some examples of how to effectively use marking instructions.
- Work keeping within (###) (feet, meters, yards, miles) of (pre-marking: flag, paint, lath, etc.).
- Work area is located (describe property orientation – front, back, side(s), inside/ outside fence, etc).
- Please note: (dog onsite, gates locked, guard/restricted access, hazards, etc).
- Additional information: (TRSQ, Map page and grid, GPS coordinate, landmarks, etc). Avoid default to "mark entire lot" unless the nature of the work requires this designation. Please note this does not relieve the excavator from using white markings to define the excavation site.
- 15. **Driving Directions** Describe clearly how to get to the work site from a known intersection.
 - From (Cross Road) travel (N-S-E-W) for (###) (feet, meters, yards, miles) on (Dig Street) to the (location, Flag, Lath, etc) on the (N-S-E-W) side of the road.
 - From (Dig Street) travel (N-S-E-W) for

(###) (feet, meters, yards, miles) on (driveway, service/gravel/farm road) into the property to (pre-marking).

- 16. GPS Coordinates Provide the GPS points of the excavation site. Please identify if the GPS coordinates if available represent the center or four corners of location.
- 17. Township, Range, Section and Quarter Section Provide the TRSQ numbers of the excavation site if available. This information can be found on the E-Ticket website; www. gopherstateonecall.org/submit or on most property tax statements from your county. To provide TRSQ information, indicate the township, range, and section by their numeric designations and quarter sections by their directional qualifier (NE, NW, SE, and SW).

Example TRSQ: Township: 108N Range:

28W Section: 12-NE

www.gopherstateonecall.org

PREPARING MARKING INSTRUCTIONS

EXCAVATION SITE DESCRIPTION

After identifying the location (address and corresponding driving directions) of the excavation site, you will be required to identify the portion of the excavation site that is to be marked. The marking instructions section of the ticket allows you to convey important information to the facility operator so that they understand your job site. Think ahead about how best to describe any specific needs or situations that a facility operator or locator may need to know. In identifying this area, the following guidelines should be considered:

- 1. Use North, South, East, and West rather than left or right.
- 2. If the excavation is in the roadway, marking instructions could include: mark from centerline of road to the (North, South, East, West) (lot line, curb or right of way) and designate footage to the ending point.
- 3. Mark 30' radius of white stake at job site.
- 4. Mark in the rear of the property along alley.
- 5. Mark from pedistal going east along white painted route for 120ft by 5 ft wide path. Ending at stake with white ribbon.
- 6. Avoid using "mark entire lot" unless that is in fact what you need done.

THE LAW

MINNESOTA STATUTE CHAPTER 216D ONE CALL EXCAVATION NOTICE SYSTEM AS AMENDED AUGUST, 2004

216D.01 - DEFINITIONS

SUBDIVISION 1 - APPLICABILITY.

The definitions in this section apply to sections 216D.01 to 216D.07.

SUBDIVISION 1A - COMMISSIONER.

"Commissioner" means the commissioner of public safety.

SUBDIVISION 1B - BOUNDARY SURVEY.

"Boundary survey" means a survey made to establish or to reestablish a boundary line on the ground or to obtain data for preparing a map or plat showing boundary lines.

SUBDIVISION 2 - DAMAGE.

- the substantial weakening of structural or lateral support of an underground facility;
- (2) penetration, impairment, or destruction of any underground protective coating, housing, or other protective device; or
- (3) impact with or the partial or complete severance of an underground facility to the

extent that the facility operator determines that repairs are required.

SUBDIVISION 3 - EMERGENCY.

"Emergency" means a condition that poses a clear and immediate danger to life or health, or a significant loss of property.

SUBDIVISION 4 - EMERGENCY RESPONDER.

"Emergency responder" means a fire department or company, a law enforcement official or office, an ambulance or other emergency rescue service, or the Division of Emergency Management created by section 12.04, subdivision 1.

SUBDIVISION 5 - EXCAVATION.

"Excavation" means an activity that moves, removes, or otherwise disturbs the soil by use of a motor, engine, hydraulic or pneumatically powered tool, or machine-powered equipment of any kind, or by explosives. Excavation does not include:

- (1) the extraction of minerals;
- (2) the opening of a grave in a cemetery;
- (3) normal maintenance of roads and streets if the maintenance does not change the original grade and does not involve the road ditch;
- (4) plowing, cultivating, planting, harvesting,

and similar operations in connection with growing crops, trees, and shrubs, unless any of these activities disturbs the soil to a depth of 18 inches or more;

- (5) gardening unless it disturbs the soil to a depth of 12 inches or more; or
- (6) planting of windbreaks, shelterbelts, and tree plantations, unless any of these activities disturbs the soil to a depth of 18 inches or more.

SUBDIVISION 6 - EXCAVATOR.

"Excavator" means a person who conducts excavation in the state.

SUBDIVISION 6A - LAND SURVEYOR.

"Land surveyor" means a person licensed to practice land surveying under sections 326.02 to 326.15.

SUBDIVISION 7 - LOCAL GOVERNMENTAL UNIT.

"Local governmental unit" means a county, town, or statutory or home rule charter city.

SUBDIVISION 8 - NOTIFICATION CENTER.

"Notification center" means a center that receives notice from excavators of planned excavation or other requests for location and transmits this notice to participating operators.

SUBDIVISION 9 - OPERATOR.

"Operator" means a person who owns or

operates an underground facility. A person is not considered an operator solely because the person is an owner or tenant of real property where underground facilities are located if the underground facilities are used exclusively to furnish services or commodities on that property, unless the person is the state, a state agency, or a local governmental unit.

SUBDIVISION 10 - PERSON.

"Person" means the state, a public agency, a local governmental unit, an individual, corporation, partnership, association, or other business or public entity or a trustee, receiver, assignee, or personal representative of any of them.

SUBDIVISION 11 - UNDERGROUND FACILITY.

"Underground facility" means an underground line, facility, system, and its appurtenances used to produce, store, convey, transmit, or distribute communications, data, electricity, power, heat, gas, oil, petroleum products, water including storm water, steam, sewage, and other similar substances.

SUBDIVISION. 12 - UTILITY QUALITY LEVEL.

"Utility quality level" means a professional opinion about the quality and reliability of utility information. There are four levels of utility quality information, ranging from the most precise and reliable, level A, to the least precise and

reliable, level D. The utility quality level must be determined in accordance with guidelines established by the Construction Institute of the American Society of Civil Engineers in document CI/ASCE 38-02 entitled "Standard Guidelines for the Collection and Depiction of Existing Subsurface Utility Data."

216D.02 NOTICE TO EXCAVATOR OR OPERATOR

SUBDIVISION 1 - DISPLAY AND DISTRIBUTION.

Local governmental units that issue permits for an activity involving excavation must continuously display an excavator's and operator's notice at the location where permits are applied for and obtained. An excavator and operator's notice and a copy of sections 216D.03 to 216D.07 must be furnished to each person obtaining a permit for excavation.

SUBDIVISION 2 - FORM.

The notification center shall prescribe an excavator and operator's notice. The notice must inform excavators and operators of their obligations to comply with sections 216D.03 to 216D.07. The center shall furnish to local governmental units:

(1) a copy of the notice and sections 216D.03 to 216D.07 in a form suitable for

photocopying;

- (2) a copy of the display and distribution requirements under subdivision 1; and
- (3) the telephone number and mailing address of the notification center.

216D.03 NOTIFICATION CENTER

SUBDIVISION 1 - PARTICIPATION.

An operator shall participate in and share in the costs of one statewide notification center operated by a vendor selected under subdivision 2.

SUBDIVISION 2 - ESTABLISHMENT OF NOTIFICATION CENTER; RULES.

The notification center services (a) be provided by a nonprofit corporation approved in writing by the commissioner. nonprofit corporation must be governed by a board of directors of up to 20 members, one of whom is the director of the Office of Pipeline Safety. The other board members must represent and be elected by operators, excavators, and other persons eligible to participate in the center. In deciding to approve a nonprofit corporation, the commissioner shall consider whether it meets the requirements of this paragraph whether it demonstrates that it has the

- ability to contract for and implement the notification center service.
- (b) The commissioner shall adopt rules:
 - establishing a notification process and competitive bidding procedure for selecting a vendor to provide the notification service;
 - (2) governing the operating procedures and technology needed for a statewide notification center; and
 - (3) setting forth the method for assessing the cost of the service among operators.
- (c) The commissioner shall select a vendor to provide the notification center service. The commissioner may advertise for bids as provided in section 16C.06, subdivisions 1 and 2, and base the selection of a vendor on best value as provided in section 16C.06, subdivision 6. The commissioner shall select and contract with the vendor to provide the notification center service, but all costs of the center must be paid by the operators. The commissioner may at any time appoint a task force to advise on the renewal of the contract or any other matter involving the center's operations.
- (d) An operator may submit a bid and be selected to contract to provide the notification center service under paragraph

(a) or (c). The commissioner shall annually review the services provided by the nonprofit corporation approved under paragraph (a) or the vendor selected under paragraph (c).

SUBDIVISION 3 - COOPERATION WITH LOCAL GOVERNMENT.

In establishing operating procedures and technology for the statewide notification center, the board of directors or the commissioner must work in cooperation with the League of Minnesota Cities, the Association of Minnesota Counties, and the Township Officers' Association. The purpose of this cooperation is to maximize the participation of local governmental units that issue permits for activities involving excavation to assure that excavators receive notice of and comply with the requirements of sections 216D.01 to 216D.07.

SUBDIVISION 4 - NOTICE TO LOCAL GOVERNMENT.

The notification center shall provide local governmental units with a master list, by county, of the operators in the county who are participants in the notification center, and the telephone number and mailing address of the notification center.

216D.04 - EXCAVATION; LAND SURVEY.

SUBDIVISION 1 - NOTICE REQUIRED; CONTENTS.

- (a) Except in an emergency, an excavator shall and a land surveyor may contact the notification center and provide notice at least 48 hours, excluding Saturdays, Sundays, and holidays and not more than 14 calendar days before beginning any excavation or boundary survey. An excavation or boundary survey begins, for purposes of this requirement, the first time excavation or a boundary survey occurs in an area that was not previously identified by the excavator or land surveyor in the notice.
- (b) The notice may be oral or written, and must contain the following information:
 - (1) the name of the individual providing the notice:
 - (2) the precise location of the proposed area of excavation or survey;
 - (3) the name, address, and telephone number of the individual or individual's company;
 - (4) the field telephone number, if one is available;
 - (5) the type and extent of the activity;
 - (6) whether or not the discharge of explosives is anticipated;

- (7) the date and time when the excavation or survey is to commence; and
- (8) the estimated duration of the activity.

SUBDIVISION 1A - PLANS FOR EXCAVATION.

- Any person, prior to soliciting bids or (a) entering into a contract for excavation. shall provide a proposed notice to the notification center to obtain from the affected operators of underground facilities the type, size, and general location of underground facilities. Affected operators shall provide the information within 15 working days. An operator who provides information to a person who is not a unit of government may indicate any portions of the information which are proprietary and may require the person to provide appropriate confidentiality protection. The information obtained from affected operators must be submitted on the final drawing used for the bid or contract and must depict the utility quality level of that information. This information must be updated not more than 90 days before completion of the final drawing used for the bid or contract.
- (b) This subdivision does not apply to bids and contracts for:
 - (1) routine maintenance of underground facilities or installation, maintenance, or repair of service lines;

- excavation for operators of underground facilities performed on a unit of work or similar basis; or
- (3) excavation for home construction and projects by home owners.
- (c) A person required by this section to show existing underground facilities on its drawings shall conduct one or more preliminary design meetings during the design phase to communicate the project design and coordinate utility relocation. Affected facility operators shall attend these meetings or make other arrangements to provide information.
- (d) A person required by this section to show existing underground facilities on its drawings shall conduct one or more preconstruction meetings to communicate the project design and coordinate utility relocation. Affected facility operators and contractors shall attend these meetings or make other arrangements to provide information.
- (e) This subdivision does not affect the obligation to provide a notice of excavation as required under subdivision 1.

SUBDIVISION 2 - DUTIES OF NOTIFICATION CENTER; REGARDING NOTICE.

The notification center shall assign an inquiry identification number to each notice and retain a record of all notices received for at least six years. The center shall immediately transmit the information contained in a notice to every operator that has an underground facility in the area of the proposed excavation or boundary survey.

SUBDIVISION 3 - LOCATING UNDERGROUND FACILITY; OPERATOR.

- (a) Prior to the excavation start time on the notice, an operator shall locate and mark or otherwise provide the approximate horizontal location of the underground facilities of the operator and provide readily available information regarding the operator's abandoned and out-of-service underground facilities as shown on maps, drawings, diagrams, or other records used in the operator's normal course of business, without cost to the excavator. The excavator shall determine the precise location of the underground facility, without damage, before excavating within two feet of the marked location of the underground facility.
- (b) Within 96 hours or the time specified in the notice, whichever is later, after receiving a notice for boundary survey from the

notification center, excluding Saturdays, Sundays, and holidays, unless otherwise agreed to between the land surveyor and operator, an operator shall locate and mark or otherwise provide the approximate horizontal location of the underground facilities of the operator, without cost to the land surveyor.

- (c) For the purpose of this section, the approximate horizontal location of the underground facilities is a strip of land two feet on either side of the underground facilities.
- (d) Markers used to designate the approximate location of underground facilities must follow the current color code standard used by the American Public Works Association.
- (e) If the operator cannot complete marking of the excavation or boundary survey area before the excavation or boundary survey start time stated in the notice, the operator shall promptly contact the excavator or land surveyor.
- (f) After December 31, 1998, operators shall maintain maps, drawings, diagrams, or other records of any underground facility abandoned or out-of-service after December 31, 1998.

(g) An operator or other person providing information pursuant to this subdivision is not responsible to any person, for any costs, claims, or damages for information provided in good faith regarding abandoned, outof-service, or private or customer-owned underground facilities.

SUBDIVISION 4 - LOCATING UNDERGROUND FACILITY; EXCAVATOR OR LAND SURVEYOR.

- (a) The excavator or land surveyor shall determine the precise location of the underground facility, without damage, before excavating within two feet on either side of the marked location of the underground facility.
- (b) If the excavator or land surveyor cancels the excavation or boundary survey, the excavator or land surveyor shall cancel the notice through the notification center.
- (c) The notice is valid for 14 calendar days from the start time stated on the notice. If the activity will continue after the expiration time, then the person responsible for the activity shall serve an additional notice at least 48 hours, excluding Saturdays, Sundays, and holidays, before the expiration time of the original notice, unless the excavator makes arrangements with the operators affected

- to periodically verify or refresh the marks, in which case the notice is valid for six months from the start time stated on the notice.
- (d) The excavator is responsible for reasonably protecting and preserving the marks until no longer required for proper and safe excavation near the underground facility. If the excavator has reason to believe the marks are obliterated, obscured, missing, or incorrect, the excavator shall notify the facility operator or notification center in order to have an operator verify or refresh the marks.

216D.05 PRECAUTIONS TO AVOID DAMAGE.

An excavator shall:

- plan the excavation to avoid damage to and minimize interference with underground facilities in and near the construction area:
- (2) use white markings for proposed excavations except where it can be shown that it is not practical;
- (3) maintain a clearance between an underground facility and the cutting edge or point of any mechanized equipment, considering the known limit of control of the cutting edge or point to avoid damage to the facility;
- (4) provide support for underground facilities

- in and near the construction area, including during backfill operations, to protect the facilities: and
- (5) conduct the excavation in a careful and prudent manner.

216D.06 - DAMAGE TO FACILITY.

SUBDIVISION 1 - NOTICE; REPAIR

(a) If any damage occurs to an underground facility or its protective covering, excavator shall notify the operator promptly. When the operator receives a damage notice, the operator shall promptly dispatch personnel to the damage area to investigate. If the damage results in the escape of any flammable, toxic, or corrosive gas or liquid or endangers life, health, or property, the excavator responsible shall immediately notify the operator and the 911 public safety answering point, as defined in section 403.02, subdivision 19, and take immediate action to protect the public and property. The excavator shall also attempt to minimize the hazard until arrival of the operator's personnel or until emergency responders have arrived and completed their assessment. The 911 public safety answering point shall maintain a response plan for notifications generated by this section.

- (b) An excavator shall delay backfilling in the immediate area of the damaged underground facilities until the damage has been investigated by the operator, unless the operator authorizes otherwise. The repair of damage must be performed by the operator or by qualified personnel authorized by the operator.
- (c) An excavator who knowingly damages an underground facility, and who does not notify the operator as soon as reasonably possible or who backfills in violation of paragraph (b), is guilty of a misdemeanor.

SUBDIVISION 2 - COST REIMBURSEMENT.

- (a) If an excavator damages an underground facility, the excavator shall reimburse the operator for the cost of necessary repairs, and for a pipeline the cost of the product that was being carried in the pipeline and was lost as a direct result of the damage.
- (b) Reimbursement is not required if the damage to the underground facility was caused by the sole negligence of the operator or the operator failed to comply with section 216D.04, subdivision 3.

SUBDIVISION 3 - PRIMA FACIE EVIDENCE OF NEGLIGENCE.

It is prima facie evidence of the excavator's

negligence in a civil court action if damage to the underground facilities of an operator resulted from excavation, and the excavator failed to give an excavation notice under section 216D.04 or provide support as required by section 216D.05.

216D.07 EFFECT ON LOCAL ORDINANCES.

- (a) Sections 216D.01 to 216D.07 do not affect or impair local ordinances, charters, or other provisions of law requiring permits to be obtained before excavating.
- (b) A person with a permit for excavation from the state or a public agency is subject to sections 216D.01 to 216D.07. The state or public agency that issued a permit for excavation is not liable for the actions of an excavator who fails to comply with sections 216D.01 to 216D.07.

216D.08 - CIVIL PENALTIES; PROCEEDS TO SAFETY ACCOUNT; RULES.

SUBDIVISION 1 - PENALTIES.

A person who is engaged in excavation for remuneration or an operator other than an operator subject to section 299F.59, subdivision 1, who violates sections 216D.01 to 216D.07 is subject to a civil penalty to be imposed by the commissioner not to exceed \$1,000 for each

violation per day of violation. An operator subject to section 299F.59, subdivision 1, who violates sections 216D.01 to 216D.07 is subject to a civil penalty to be imposed under section 299F.60. The district court may hear, try, and determine actions commenced under this section. Trials under this section must be to the court sitting without a jury. If the fine exceeds the maximum limit for conciliation court, the person appealing the fine may request the commissioner to conduct an administrative hearing under chapter 14.

SUBDIVISION 2 - SETTLEMENT.

The commissioner may negotiate a compromise settlement of a civil penalty. In determining the amount of the penalty, or the amount of the compromise settlement, the commissioner shall consider the appropriateness of the penalty to the size of the business of the person charged, the gravity of the violation, and the good faith of the person charged in attempting to achieve compliance, after notification of a violation. Unless the commissioner chooses to proceed in district court under subdivision 1, the contested case and judicial review provisions of chapter 14 apply to the orders of the commissioner imposing a penalty under sections 216D.01 to 216D.07. The amount of the penalty, when finally determined, may be deducted from sums owing by the state of Minnesota to the person charged.

SUBDIVISION 3 - CREDITED TO PIPELINE SAFETY ACCOUNT; APPROPRIATION.

Penalties collected under this section must be deposited in the state treasury and credited to the pipeline safety account to be applied to the reduction of expenses or costs assessed by the commissioner against persons regulated under this chapter. Penalties collected under this section are annually appropriated to the commissioner of public safety.

SUBDIVISION 4 - RULES.

The commissioner shall adopt rules establishing reasonable guidelines for imposing penalties. The rules must provide for notice that a penalty is assessed and may exempt activities from penalties unless the excavator or operator as defined in this section has evidenced a course of action in disregard of this chapter.

216D.09 - INJUNCTIVE RELIEF.

SUBDIVISION 1 - JURISDICTION.

The district courts of the state of Minnesota have jurisdiction, subject to the provisions of the statutes and the rules of practice and procedure of the state of Minnesota relative to civil actions in the district courts, to restrain violations of sections 216D.01 to 216D.07, on petition by the attorney general on behalf of the state of Minnesota. When practicable,

the commissioner shall give notice to a person against whom an action for injunctive relief is contemplated and afford the person an opportunity to present views and, except in the case of a knowing and willful violation, shall afford the person reasonable opportunity to achieve compliance. However, the failure to give the notice and afford an opportunity to present views does not preclude the granting of appropriate relief.

SUBDIVISION 2 - VENUE.

Actions under this section must be brought in district court in the district where the defendant's principal place of business in the state is located, and process in these cases may be served in any other district in the state of Minnesota where the defendant may be found or in which the defendant is an inhabitant or transacts business.

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MINNESOTA RULES CHAPTER 7560

OFFICE OF PIPELINE SAFETY EXCAVATION NOTICE SYSTEM AS AMENDED OCTOBER, 2005

7560.0100 - DEFINITIONS

SUBPART 1. - SCOPE.

The terms used in this chapter have the meanings given them. Terms not defined in this part have the meanings given them in Minnesota Statutes, section 216D.01.

SUBPART 1A. ABANDONED FACILITY.

"Abandoned facility" means an underground facility that is no longer in service and is physically disconnected from a portion of the operating facility that is in use or still carries service. An abandoned facility has been deemed abandoned by the operator.

SUBPART 2. DIRECTOR.

"Director" means the director of the Office of Pipeline Safety of the Minnesota Department of Public Safety.

SUBPART 3. GOOD CAUSE TO BELIEVE.

"Good cause to believe" means grounds put forth in good faith that are not arbitrary, irrational, unreasonable, or irrelevant and that are based on at least one of the following sources:

- A. information from a person;
- B. facts supplied by the notification center defined in Minnesota Statutes, section 216D.01, subdivision 8;
- C. facts of which the director or an agent of the director has personal knowledge; and
- D. information provided by excavators or operators.

SUBPART 4. LOCATE.

"Locate" means an operator's markings of an underground facility.

SUBPART 5.

[Renumbered as subp 8]

SUBPART 5A.

[Renumbered as subp 9]

SUBPART 6.

[Renumbered as subp 11]

SUBPART 7. MEET.

When used as a noun in this chapter, "meet" refers to a meeting at the site of proposed excavation requested at the time of notice by the excavator with all affected underground facility operators to further clarify the precise geographic location of excavation, schedule

locating, propose future contacts, and share other information concerning the excavation and facilities.

SUBPART 8. OFFICE.

"Office" means the Office of Pipeline Safety of the Minnesota Department of Public Safety.

SUBPART 9. OUT-OF-SERVICE FACILITY.

"Out-of-service facility" means an underground facility that is no longer maintained and is not intended for future use, but has not been deemed abandoned. An out-of-service facility may still be connected to a portion of the operating facility that is in use or still carries service.

SUBPART 10. PUBLIC RIGHT-OF-WAY.

"Public right-of-way" means the area on, below, or above a public roadway, highway, street, cartway, bicycle lane, and sidewalk in which a government unit has an interest, including other rights-of-way dedicated for travel purposes and utility easements of government units.

SUBPART 11. REMUNERATION.

"Remuneration" means direct or indirect compensation or consideration paid to the person or the person's agent, employer, employee, subcontractor, or contractor. A person who excavates as part of the person's duties as an employee, employer, agent,

subcontractor, or contractor is considered to be acting for remuneration.

SUBPART 12. SERVICE LATERAL.

"Service lateral" means an underground facility that is used to transmit, distribute, or furnish gas, electricity, communications, or water from a common source to an end-use customer. A service lateral is also an underground facility that is used in the removal of wastewater from a customer's premises.

7560.0125 ABANDONED AND OUT-OF-SERVICE FACILITIES.

SUBPART 1. DUTY OF OPERATORS TO PROVIDE READILY AVAILABLE INFORMATION.

Operators shall provide readily available information, as shown on maps, drawings, diagrams, or other records used in the normal course of business, on the approximate location of abandoned and out-of-service facilities to an excavator by the excavation date and time noted on the excavation or location notice unless otherwise agreed between the excavator and the operator. An operator fulfills an obligation to provide information on these facilities by doing one or more of the following:

A. locating and marking the approximate location of the facility according to the

- current color code standard used by the American Public Works Association, as required in Minnesota Statutes, section 216D.04, subdivision 3, with an abandoned or out-of-service facility identified by an uppercase A surrounded by a circle;
- B. providing informational flags at the area of proposed excavation;
- C. communicating information verbally; or
- D. providing copies of maps, diagrams, or records.

SUBPART 2. DUTY TO NOTIFY OPERATOR.

An excavator shall notify the operator:

- A. before moving, removing, or otherwise altering a facility that is thought to be abandoned or out of service: or
- B. if damage to the facility occurs, pursuant to Minnesota Statutes, section 216D.01, subdivision 2.

SUBPART 3. VERIFICATION OF ABANDONED OR OUT-OF-SERVICE FACILITY.

Upon receipt of notification by an excavator pursuant to subpart 2, an operator shall verify that an underground facility is abandoned or out of service, by either reference to installation records, testing, or other comparable standard of verification, before an excavator is allowed

to move, remove, or otherwise alter an underground facility.

SUBPART 4. LIABILITY.

An operator providing information pursuant to Minnesota Statutes, section 216D.04, subdivision 3, is not responsible to any person for any costs, claims, or damages for information provided in good faith regarding abandoned and out-of-service underground facilities.

7560.0150 PUBLIC RIGHT-OF-WAY MAPPING AND INSTALLATION.

SUBPART 1. DUTY OF OPERATOR TO MAP.

After December 31, 2005, an operator shall maintain a map, a diagram, a drawing, or geospatial information regarding the location of its underground facility within a public right-of-way installed after that date.

SUBPART 2. DUTY TO INSTALL LOCATING WIRE.

After December 31, 2005, an operator shall install a locating wire or have an equally effective means of marking the location of each nonconductive underground facility within a public right-of-way installed after that date. This requirement does not apply when making minor repairs to an existing nonconductive facility. As applied to this chapter, "minor repairs" means repairs to or

partial replacement of portions of existing service laterals located within a public right-of-way for purposes of routine maintenance and upkeep.

7560.0200 [REPEALED, 24 SR 448]

7560.0225 EXCAVATOR RESPONSIBILITIES REGARDING A LOCATE.

SUBPART 1.

[Repealed, 29 SR 1503]

SUBPART 2. RESPONSIBILITY TO PROTECT AND PRESERVE.

The excavator is responsible for reasonably protecting and preserving a locate until no longer required for proper and safe excavation near the underground facility. If the excavator has reason to believe a locate is obliterated, obscured, missing, or incorrect, the excavator shall notify the facility operator or notification center in order to have an operator verify, refresh, or re-mark the locate.

SUBPART 3. USE OF LOCATE.

A locate is valid for 14 days from the excavation commencement time stated on the excavation or location notice, unless the excavator has made previous arrangements with the operators affected to periodically verify, refresh, or re-mark the locate.

7560.0250 LOCATE STANDARDS.

SUBPART 1. FACILITY LOCATE.

Unless otherwise agreed to between the excavator and operator, an operator shall locate an underground facility using stakes, flags, paint, or other suitable materials in varying combinations dependent upon the surface. The locate must be in sufficient detail to clearly identify the approximate route of the underground facility. The locate must also include:

- A. name, abbreviation, or logo of the operator when more than one operator listed on the notice uses the same color markings;
- B. width of the underground facility if it is greater than eight inches; and
- C. number of underground facilities if greater than one.

SUBPART 2. OPERATOR DUTIES IN NO CONFLICT SITUATION.

After December 31, 2005, an operator who receives notice and determines that an underground facility is not in conflict with the proposed excavation shall complete one or more of the following:

A. mark the area "NO" followed by the operator's name, abbreviation, or logo in the color code of the underground facility not in conflict;

- B. place a clear plastic flag at the area that:
 - states "N/C" or "NO CONFLICT" in lettering matching the color code of the underground facility that is not in conflict; and
 - (2) includes the operator's name, abbreviation, or logo, the date, a contact telephone number, and the ticket number; or
- C. contact the notification center through procedures required by the notification center and indicate that there are no underground facilities in conflict with the proposed excavation and that no markings or flags were left at the proposed excavation site.

SUBPART 3. PLACEMENT OF FLAGS OR MARKINGS.

If using N/C (no conflict) flags or markings pursuant to subpart 2, an operator shall place the flags or markings in a location that can be readily observed by an excavator. When an area of proposed excavation is delineated by the use of white markings, an operator shall place the N/C flags or markings within, or as near as practicable to, the delineated area.

SUBPART 4. DUTIES OF NOTIFICATION CENTER.

After December 31, 2005, the notification

center shall make the information received under subpart 2 available to the excavator before the start date and time on the notice. The notification center may fulfill this requirement by making the information accessible through one or more Internet addresses, by transmitting the information to a continuously working facsimile machine maintained by the excavator, or by other methodology developed by the notification center. The notification center shall make available the information received by operators pursuant to this section through an electronic means. The notification center is not required by this subpart to contact an excavator verbally via telephone.

7560.0300 OPERATOR PARTICIPATES AND SHARES COSTS.

An operator shall participate in and share the costs of the one call excavation notice system by:

- A. submitting the information required by the notification center to allow the center to notify the operator of excavation activity;
- B. updating the information provided to the notification center on a timely basis;
- C. installing and paying for equipment reasonably requested by the notification center to facilitate receipt of notice of excavation from the center:

- D. paying the costs charged by the notification center on a timely basis; and
- E. receiving and responding to excavation notices, including emergency notices, as required by Minnesota Statutes, chapter 216D.

7560.0325 EMERGENCY EXCAVATION NOTICES.

SUBPART 1. DUTY OF EXCAVATOR TO PROVIDE NOTICE.

An excavator shall provide notice to the notification center before commencing an emergency excavation, unless subpart 2 applies. All emergency notices, regardless whether made prior to excavation, must be verbal or in a manner accepted by the notification center. In addition to the information required by the notification center, the notice must also contain:

- A. a description of the situation requiring the emergency excavation;
- B. the precise location of the proposed area of the emergency excavation;
- at least one continuously staffed telephone number where the excavator can be contacted by the operator throughout the emergency; and
- D. the excavation start date and time if the

need for excavation is not immediate.

SUBPART 2. EXCAVATING BEFORE NOTICE.

If an emergency is such that providing notice or waiting for an operator would result in an undue risk to life, health, or significant loss of property, the excavator may excavate without providing prior notice or waiting for an operator to mark an underground facility. In this situation, the excavator shall provide notice as soon as practicable and take all reasonable precautions to avoid or minimize damage. Excavation prior to notice under this subpart does not relieve an excavator from any responsibility for damage to an underground facility pursuant to Minnesota Statutes, section 216D.06.

SUBPART 3. EMERGENCY NOTICE REQUESTING IMMEDIATE RESPONSE.

Upon receiving an emergency excavation notice requesting an immediate response, an operator shall:

- A. attempt to contact the excavator within one hour at the telephone number provided in subpart 1, item C, to provide any information concerning facilities at or near the area of excavation including an anticipated response time; and
- B. locate and mark the underground facility within three hours of notice unless:

- (1) otherwise agreed between the parties;
- (2) the operator notifies the excavator that not locating does not present an immediate danger to life or health, or a significant loss of property; or
- (3) there is an event or situation that cannot be reasonably anticipated or controlled by the operator.

SUBPART 4. EMERGENCY NOTICE REQUESTING SCHEDULED RESPONSE.

Upon receiving an emergency excavation notice that does not require an immediate response, and before the scheduled excavation start date and time, an operator shall:

- A. locate and mark the underground facility, unless otherwise agreed between the parties; or
- B. notify the excavator at the telephone number provided in subpart 1, item C, that there is not an underground facility within the area of proposed excavation.

For purposes of this subpart, a requested start time of three hours or less from the time notice is provided to the center is considered an emergency notice requesting immediate response under subpart 3.

7560.0350 EXCAVATION NOTICE REQUESTING MEET.

SUBPART 1. EXCAVATOR DUTIES.

When requesting a meet through the notification center, an excavator must provide at least one contact name and telephone number to assist in facilitating the meet. An excavator shall contact the notification center to cancel or reschedule the meet and the notification center shall relay this information to the affected operators. When a meet is requested, an excavator's notice must include the entire geographic area of the proposed excavation and the specific location of the meet. This part does not relieve an excavator from the duty to provide a precise geographic location of the proposed area of excavation, or to use white markings except where it can be shown that to do so is not practical.

SUBPART 2. OPERATOR DUTIES.

When a meet is requested, an affected operator shall make a reasonable effort to attend the meet at the proposed date and time, or contact the excavator before the meet and reschedule for a mutually agreed date and time.

SUBPART 3. EXCAVATION START DATE AND TIME.

When a meet is requested, the meet date and time must be at least 48 hours after notice is provided, excluding Saturdays, Sundays, and

holidays, and the excavation start date and time must be at least 24 hours after the proposed meet date and time specified on the notice, excluding Saturdays, Sundays, and holidays. This subpart does not apply if these matters are provided for in a written agreement with all affected operators.

SUBPART 4. MEET REQUEST DOCUMENTATION.

An excavator shall maintain written documentation of each meet with an underground facility operator or representative. This documentation must be kept for the duration of the excavation conducted under the notice. The documentation must include:

- A. the date and time of each meet;
- B. the names, company affiliations, and contact information of the attendees of each meet;
- C. a diagram, sketch, or description of the precise excavation locations, dates, and times; and
- D. the agreed schedule of any future meets or communications.

7560.0375 LOCATING A SERVICE LATERAL.

SUBPART 1. OPERATOR DUTIES.

Unless otherwise agreed, an underground facility operator shall locate a service lateral

before the start date and time on the notice and in accordance with items A through C:

- A. An operator of a natural gas, propane, or electric facility shall locate a service lateral up to the meter or the connection to a customer's underground facility, whichever is closer to the end-use customer. If the meter or connection to the customer's underground facility is within a public right-of-way, at a minimum the operator shall locate that portion of the service lateral within the public right-of-way up to the point where the service lateral first leaves the public right-of-way.
- B. An operator of a communication facility shall locate a service lateral up to the entry of the first building. If the service lateral does not enter a building, the operator shall locate up to the utilization equipment, fence, or wall that surrounds the equipment.
- C. After December 31, 2005, an operator of a sewage or water facility, at a minimum, shall locate that portion of the service lateral within a public right-of-way installed after that date up to the point where the service lateral first leaves the public right-of-way. The operator shall either locate or provide information as shown on maps, drawings, diagrams, or other records, on the location

of a sewer or water service lateral installed before January 1, 2006. If no information is available on a sewer or water service lateral installed before January 1, 2006, then notifying the excavator that no information exists fulfills the requirements of this section.

SUBPART 2. EXCEPTION.

An operator is not required to locate a service lateral of a customer who currently participates in the statewide notification system, provided the customer and operator mutually agree that the customer will assume locate responsibilities. The agreement must be in writing.

7560.0400 CITATIONS.

SUBPART 1. NOTICE OF VIOLATION.

The office shall issue a notice of probable violation when the office has good cause to believe a violation of Minnesota Statutes, sections 216D.01 to 216D.09 or this chapter has occurred.

SUBPART 2. CONTENTS OF NOTICE OF VIOLATION.

A notice of violation must include:

- A. a statement of the statute or rule allegedly violated by the person and a description of the evidence on which the allegation is based;
- B. notice of response options available to the

- person cited;
- C. notice that the person has 30 days in which to respond;
- D. notice that failure to respond within 30 days precludes administrative review under this chapter; and
- E. if a civil penalty is proposed, the amount of the proposed civil penalty and the maximum civil penalty applicable under law.

SUBPART 3. RECEIPT OF NOTICE.

The notice of violation is deemed received three days after mailing to the person's last known address.

7560.0500 RESPONSE OPTIONS.

The person shall respond to the notice of violation in the following way:

- A. When the notice contains a proposed compliance order, the person shall:
 - (1) agree to the proposed compliance order;
 - (2) request the execution of a consent order;
 - (3) object to the proposed compliance order and submit written explanations, information, or other materials in answer to the allegations in the notice; or

- (4) request the office to initiate a hearing under Minnesota Statutes, sections 14.50 to 14.69.
- B. When the notice contains a proposed civil penalty, the person shall:
 - (1) pay the penalty and close the case;
 - (2) submit an offer in compromise of the proposed civil penalty;
 - (3) submit a written explanation, information, or other material in answer to the allegations or in mitigation of the proposed civil penalty; or
 - (4) request the office to initiate a hearing under Minnesota Statutes, sections 14.50 to 14.69.
- C. Failure to respond in writing within 30 days precludes administrative review under this chapter. A final order will be issued and penalties will be forwarded for collection.

7560.0600 DIRECTOR REVIEW.

If the person objects to the proposed civil penalty or compliance order and submits written explanations, information, or other materials in response to a notice of violation, within the time specified in part 7560.0500, the director shall review the submissions and determine whether to negotiate further, to change or withdraw the

notice of violation, or to initiate a hearing under Minnesota Statutes, sections 14.50 to 14.69.

7560.0700 CONSENT ORDER.

An executed consent order must contain:

- A. an admission by the person of the jurisdictional facts;
- B. a waiver of further procedural steps and the right to seek judicial or administrative review or otherwise challenge or contest the validity of the consent order; and
- C. an agreement that the notice of violation may be used to construe the terms of the consent order.

7560.0800 CIVIL PENALTIES.

SUBPART 1. PROCEEDINGS AGAINST EXCAVATORS.

When the office has good cause to believe that an excavator is engaging or has engaged in conduct that violates Minnesota Statutes, section 216D.04, subdivision 1, 2, or 3; 216D.05, clause (1), (2), (3), or (4); or 216D.06, subdivision 1, or a rule adopted under Minnesota Statutes, section 216D.08, subdivision 4, the office, if appropriate, shall negotiate a civil penalty under Minnesota Statutes, section 216D.08, subdivision 2. A penalty imposed under Minnesota Statutes, section 216D.08, is

subject to the contested case and judicial review provisions of Minnesota Statutes, chapter 14. An operator who engages or has engaged in excavation that violates Minnesota Statutes, chapter 216D, is subject to the proceedings specified in subpart 2 and is subject to the penalties specified in subpart 4, item B or C.

SUBPART 2. PROCEEDINGS AGAINST UNDERGROUND FACILITY OPERATORS.

The office may negotiate a civil penalty under item A or B.

- A. When the office has good cause to believe that an underground facility operator, other than an operator set forth in item B, is engaging or has engaged in conduct that violates Minnesota Statutes, sections 216D.01 to 216D.07, or a rule adopted under Minnesota Statutes, section 216D.08, subdivision 4, the office, if appropriate, shall negotiate a civil penalty under Minnesota Statutes, section 216D.08, subdivision 2. A penalty imposed under Minnesota Statutes, section 216D.08, is subject to the contested case and judicial review provisions of Minnesota Statutes, chapter 14.
- B. When the office has good cause to believe that an operator who engages in the transportation of gas or hazardous liquids or who owns or operates a gas or hazardous liquid pipeline

facility is engaging or has engaged in conduct that violates Minnesota Statutes, sections 299F.56 to 299F.641, or a rule adopted under Minnesota Statutes, section 299F.60, subdivision 5, the office, if appropriate, shall negotiate a civil penalty under Minnesota Statutes, section 299F.60, subdivision 2. A penalty imposed under Minnesota Statutes, section 299F.60, is subject to the contested case and judicial review provisions of Minnesota Statutes, chapter 14.

SUBPART 3. ASSESSMENT CONSIDERATIONS.

In assessing a civil penalty under this part, the office shall consider the following factors:

- A. the nature, circumstances, and gravity of the violation;
- B. the degree of the person's culpability;
- C. the person's history of previous offenses;
- D. the person's ability to pay;
- E. good faith on the part of the person in attempting to remedy the cause of the violation;
- F. the effect of the penalty on the person's ability to continue in business; and
- G. past reports of damage to an underground facilities

HOLIDAY SCHEDULE AND HOURS OF OPERATION

Gopher State One Call is open Monday through Friday between 7:00 am and 5:00 pm November through March, and between 6:00 am and 6:00 pm April through October. Calls during off hours, weekends and on holidays are accepted for emergencies only.

Holidays are:
New Year's Day
Martin Luther King Jr. Day
President's Day

Memorial Day Independence Day

Labor Day Veteran's Day

Thanksgiving Day

Friday after Thanksgiving Day

Christmas Eve Day

Christmas Day

Gopher State One Call has adopted a "Holiday Substitution Policy" so that excavators and operators are better aware, in advance, of what days GSOC will observe as holidays. If a holiday falls on a Saturday, GSOC will observe the Friday before as a holiday; if it falls on a Sunday, GSOC will observe the Monday after as a holiday.

CONTACT INFORMATION FOR MINNESOTA OFFICE OF PIPELINE SAFETY

Address:

MN Office of Pipeline Safety

444 Cedar Street, ste 147

St. Paul, MN 55101

Web Address:

Phone number	651-201-7230
State Duty Officer:	800-422-0798

https://dps.mn.gov/divisions/ops/pages/default.aspx



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